

$GL_n$ - orbits of minimal functions over finite fields

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1. This paper deals with the fourier transform over finite fields. Our main goal is to give a partial answer to a problem posed by McGehee ( See [1] ) . First we need some definitions .

Let  $G$  denote the additive group of a finite field of  $p^n$  elements  
A character on  $G$  is a map

$$\chi : G \longrightarrow \mathbb{C}^* ,$$

such that  $\chi ( a + b ) = \chi ( a ) \cdot \chi ( b )$  for  $a , b$  in  $G$  .

$\mathbb{C}^*$  denotes the multiplicative group of complex numbers.

Thus  $\chi ( 0 ) = 1$  , and for all  $a$  in  $G$  , its image  $\chi ( a )$  is a  $p$ -th root of unity.

The trivial character  $\chi_0$  is the map given by  $\chi_0 ( a ) = 1$  for all  $a$  in  $G$ .

The set of all characters becomes a group under the multiplication of functions. We call this group the dual of  $G$  and we denoted it by  $\hat{G}$  .

McGehee considers functions

$$\mu : G \longrightarrow \{ 0 , 1 , -1 \} ,$$

with  $\mu ( x ) = 0$  if and only if  $x = 0$  .

We denote the set of such functions by  $\mathfrak{M}$  . For a function  $\mu$  in  $\mathfrak{M}$  its fourier transform is defined as

$$\hat{\mu}(x) = \sum_{g \in G} \mu(g) x(g) \quad \text{for } x \text{ in } \hat{G}.$$

The norm of  $\mu$  is given by

$$\|\mu\| = \sup_{x \in \hat{G}} |\hat{\mu}(x)|,$$

and this norm satisfies  $\|\mu\| \leq p^{n/2}$ .

We say that  $\mu$  is minimal if  $\|\mu\| = p^{n/2}$ .

The basic examples of minimal functions are the quadratic function  $\psi$  and its negative  $-\psi$ , where

$$\psi(x) = \begin{cases} 1 & \text{if } x \text{ is a nonzero square} \\ -1 & \text{if } x \text{ is nonsquare} \\ 0 & \text{if } x = 0. \end{cases}$$

McGehee question is to describe all minimal functions; or at least to count them. When  $n = 1$  or  $2$ , McGehee gave a complete count of all minimal functions.

The set of minimal functions will be denoted by  $\mathfrak{M}_0$ . We know two bounds for the number of elements in this set (See [2])

$$2 \leq |\mathfrak{M}_0| \leq 2^s,$$

where  $s = \frac{p^n - 1}{p - 1}$ .

The following theorem, due to McGehee, is a useful criterion to test a function in  $\mathfrak{M}$  for minimality.

**THEOREM 1** Let  $f$  be a function in  $\mathfrak{M}$ . Then  $\|f\| = p^{n/2}$  if and only if

i)  $\hat{f}(x_0) = 0$

ii)  $|\hat{f}(x)| = p^{n/2}$  for  $x \neq x_0$ .

We will refer to i) and ii) as the minimality conditions for  $f$

2. We now consider group actions on the set of minimal functions .

Let  $\sigma$  a group automorphism  $\sigma : G \longrightarrow G$  , and let  $\chi$  be a character on  $G$  . Define the action of  $\sigma$  over  $\chi$  as

$$\chi^\sigma(\mathbf{g}) = \chi(\sigma(\mathbf{g})).$$

Thus the group  $\text{Aut}(G)$  acts over  $\hat{G}$  and by means of this action , we can make  $\text{Aut}(G)$  act on on the set  $\mathfrak{M}_0$  of minimal functions.

Let  $f \in \mathfrak{M}_0$  , then define

$$f^\sigma = f \sigma \quad \text{for } \sigma \text{ in } \text{Aut}(G) .$$

THEOREM 2 If  $f$  is a minimal function , then  $f^\sigma$  is minimal for all  $\sigma$  in  $\text{Aut}(G)$ .

PROOF : Let  $f$  be in  $\mathfrak{M}_0$  and let  $\sigma$  be in  $\text{Aut}(G)$  . In order to prove that  $f^\sigma$  is in  $\mathfrak{M}_0$  , we only need to verify the minimality conditions.

Thus

$$\begin{aligned} \hat{f}^\sigma(x_0) &= \sum_{\mathbf{g} \in G} f^\sigma(\mathbf{g}) x_0(\mathbf{g}) \\ &= \sum_{\mathbf{g} \in G} f(\sigma(\mathbf{g})) x_0(\mathbf{g}) . \end{aligned}$$

Making  $\sigma(\mathbf{g}) = \mathbf{s}$  , and using the fact that  $\sigma(\mathbf{g})$  runs over all elements in  $G$  , as  $\mathbf{g}$  runs over  $G$  , gives

$$\hat{f}^\sigma = \sum_{\mathbf{s} \in G} f(\mathbf{s}) = \hat{f}(x_0) = 0 .$$

Therefore the first minimality condition holds for  $f^\sigma$ . Now, let  $\chi$  be any character on  $G$ ,  $\chi \neq \chi_0$ . Then we have

$$\begin{aligned} | \hat{f}^\sigma (\chi) | &= | \sum_{g \in G} f (\sigma (g)) \chi (g) | \\ &= | \sum_{g \in G} f (\sigma (g)) \chi^{\sigma^{-1}} (\sigma (g)) | \\ &= | \hat{f} (\chi^{\sigma^{-1}}) | = p^{n/2} . \quad \square \end{aligned}$$

There is a subgroup of  $\text{Aut} (G)$ , which is of our interest, namely  $\text{GL}_n (\mathbb{F}_p)$ . If we look at the finite field  $\mathbb{F}_{p^n}$  as a vector space over  $\mathbb{F}_p$ , then  $\text{GL}_n (\mathbb{F}_p)$  is the group of all invertible  $\mathbb{F}_p$ -linear maps from  $\mathbb{F}_{p^n}$  to itself.

We will study the action of this group on  $\mathfrak{M}_0$  and in particular its action on  $\psi$ . The size of the orbit of  $\psi$  is given by

$$| \text{GL}_n (\mathbb{F}_p) \cdot \psi | = \frac{| \text{GL}_n (\mathbb{F}_p) |}{| \text{Stab } \psi |} \quad (1)$$

Here  $\text{Stab } \psi = \{ \sigma \in \text{GL}_n (\mathbb{F}_p) / \psi \sigma = \psi \}$ .

REMARK There is a formula for the order of  $\text{GL}_n (\mathbb{F}_p)$  (See [3])

$$| \text{GL}_n (\mathbb{F}_p) | = (p^n - 1) (p^n - p) \dots (p^n - p^{n-1}) \quad (2)$$

REMARK We found a formula for the order of  $\text{Stab } \psi$  in [2]

$$| \text{Stab } \psi | = n \frac{p^n - 1}{2} \quad (3)$$

The above formula was verified for some numerical values of  $p^n$ . However <sup>we</sup> did not have a complete proof for that formula. While we

were trying to prove (3) , we arrived to the following interesting conjecture

CONJECTURE 1 Every  $\sigma$  in  $\text{Stab } \psi$  is of the form :

$$\sigma = c \cdot \theta^i \quad 1 \leq i \leq n \quad (4)$$

where  $c$  is a non-zero square in  $G$  , and  $\theta$  is an element in the Galois group  $\text{Gal} ( \mathbb{F}_{p^n} : \mathbb{F}_p )$  .

Our conjecture can be restated as follows

CONJECTURE 2 Let  $\sigma$  be a  $\mathbb{F}_p$ - linear invertible map on  $F = \mathbb{F}_{p^n}$

$\sigma : F \longrightarrow F$  , such that

i)  $\sigma (1) = 1$

ii)  $\sigma ( F^2 ) = F^2$  .

Then  $\sigma (xy) = \sigma (x) \sigma (y)$  for all  $x , y$  in  $F$  .

In a letter sent to the author , Dr Robert Perlis pointed out that this conjecture was a special case of a theorem of L. Carlitz , from 1960 ( See [4] ) . There is a more elegant proof of the same theorem , given by A. Bruen and B. Levinger ( See [5] )

THEOREM 2 ( Carlitz ) Let  $F$  be a finite field of order  $q = p^n$  , and

let  $K = \{ x \in F / x^d = 1 \}$  , for some proper divisor  $d$  of  $q-1$  . Then a mapping  $f$  of  $F$  into itself satisfies

$$(x - y)^{-1} ( f(x) - f(y) ) \in K \quad (6)$$

for  $x \neq y$  in  $F$  , if and only if  $f(x)$  is given by

$$f(x) = a + b x^{p^j} , \quad (7)$$

where  $a \in F$  ,  $b \in K$  , and  $(q-1)$  divides  $d (p^j - 1)$  .

We will give a proof of the conjecture as stated in (4) .

PROOF OF THE CONJECTURE :

Take  $d = (q-1)/2$  , then it follows  $K =$  squares in  $F$  .

Now , let  $\sigma$  be a map in  $\text{Stab } \psi$  . We will show that  $\sigma$  satisfies condition (6) . Consider  $x, y$  two different elements in  $F$  and its difference  $x-y$  in  $F$  . We have two choices

I) If  $x-y$  is a square we get  $\sigma (x-y) = \sigma(x) - \sigma(y) \in K$  , thus  $(x-y)^{-1} (\sigma(x) - \sigma(y)) \in K$  .

II) If  $x-y$  is nonsquare we have  $\sigma (x-y) = \sigma(x) - \sigma(y)$  is nonsquare, and from this we obtain :  $(x-y)^{-1} (\sigma(x) - \sigma(y)) \in K$  .

Thus by using Carlitz theorem we conclude

$$\sigma (x) = a + b x^{p^j} , \quad (8)$$

where  $a \in F$  ,  $b \in K$  , and  $q-1$  divides  $\frac{q-1}{2} (p^j - 1)$  .

First we note that there is no restriction on  $j$  , since  $q-1$  always divides  $\frac{(q-1)}{2} (p^j - 1)$  . Second we observe that if  $j > n$  , the element  $x^{p^j}$  is on the set  $x^p , x^{p^2}, \dots , x^{p^n}$  , thus we can take  $1 \leq j \leq n$  . Moreover , putting  $x^p = \theta \in \text{Gal} ( F ; \mathbb{F}_p )$  in (8) gives

$$\sigma (x) = a + b \theta^j \quad 1 \leq j \leq n . \quad (9)$$

Finally , observe that  $a = 0$  , since  $\sigma (0) = 0$  . Thus

$$\sigma (x) = b \theta^j \quad 1 \leq j \leq n \quad , \quad b \in K ,$$

and that finishes the proof . □

REMARK Once we prove the conjecture , the order of  $\text{Stab } \psi$  can be

found easily by counting the choices for  $\sigma$  in (4)

$$| \text{Stab } \psi | = \# \text{ choices for } b \cdot \# \text{ choices for } j = \frac{p^n - 1}{2} n .$$

Thus we have shown

**THEOREM 3** Let  $F$  be a finite field of  $p^n$  elements . Then we have

$$| \text{GL}_n ( F ; \mathbb{F}_p ) \cdot \psi | = \frac{2 ( p^n - p ) ( p^n - p^2 ) \dots ( p^n - p^{n-1} )}{n} \quad (8)$$

REMARK The above formula gives a good lower bound for the number of minimal functions . When  $p = 3$  ,  $n = 3$  McGehee found exactly 288 of such functions by numerical computations . Also for  $n=1$  and any  $p$  there are only two minimal functions  $\psi$  and  $-\psi$  . Then in this two cases all minimal functions are in the orbit of  $\psi$  . An open question is : Does  $\text{GL}_n ( \mathbb{F}_p )$  acts transitively on  $\mathcal{M}_0$  ,  $n \geq 1$  ,  $n$  odd ?

REMARK When  $n = 2$  and  $p \neq 3, 5$  we show in [2] that  $\text{GL}_2 ( \mathbb{F}_p )$  does not act transitively on the set of minimals . However , there is a larger group that acts transitively in this case .

We may consider  $G$  , the additive group of  $\mathbb{F}_{p^n}$  as a vector space over  $\mathbb{F}_p$  . Then a line through the origin given by  $a$  in  $G$  is

$$\mathbb{F}_p \cdot a = \{ x \in G / x = c \cdot a \text{ for } c \text{ in } \mathbb{F}_p \}$$

Then  $G$  can be seen as the union of lines through the origin . It can be shown that the set of all permutations  $\sigma$  of  $G$  , taking 0 to 0 , and lines to lines is a group . Also this group , which is denoted by  $P_2$  , acts transitively on  $\mathcal{M}_0$  . Thus we obtain all minimal functions from the  $P_2$  - orbit of  $\psi$  . The number of such functions is

$$|m_0| = \binom{p+1}{\frac{p+1}{2}}$$

In general when  $n = 2m$  is even, then the finite field  $F = \mathbb{F}_{p^{2m}}$  contains the subfield  $K = \mathbb{F}_{p^n}$ , and  $G = (F, +)$  can be considered to be a  $K$ -vector space, and thus  $G$  is the union of  $K$ -lines through the origin. As before, we consider the group  $P_2(K)$  of permutations of  $F$ , taking  $0$  to  $0$  and  $K$ -lines to  $K$ -lines. It is not known whether  $P_2(K)$  acts transitively on minimals when  $n > 2$ . But we can say that the action of  $P_2(K)$  gives that the number  $N_0$  of minimal functions satisfies

$$N_0 \approx \binom{t}{t/2},$$

where  $t = p^m + 1$ .

For example, for  $p = 7$  and  $n = 6$  we see

$$N_0 \approx \binom{344}{172}$$

which is a number with over 100 digits.

#### References

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